



Docket: 71626

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Shelton et al.

Serial No.: 10/796,235

Group Art Unit: 1761

Filed: March 09, 2004

Examiner:

For: LOW MOLECULAR WEIGHT CARBOXYALKYLCELLULOSE ESTERS
AND THEIR USE AS LOW VISCOSITY BINDERS AND MODIFIERS IN
COATING COMPOSITIONS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97

In accordance with 37 CFR 1.56, the documents listed on the attached Information Disclosure Statement by Applicant are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the cited documents are enclosed.

An International Search Report in connection with a related PCT application has been received. A copy is enclosed.

Applicants elect the option to pay the fee set forth in 37 CFR 1.17(p), for submission of an Information Disclosure Statement under 1.97(c). Please charge Deposit Account No. 05-0221 in the amount of \$180.00. The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.17 which may be required by

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this paper or credit any overpayment to Deposit Account No. 05-0221. A duplicate copy of this paper is attached.

Respectfully submitted,

Bernard J. Graves, Jr.
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September 30, 2004
Date

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CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Cathy L. Adkins
Cathy L. Adkins

9/30/04
Date



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Substitute for form 1449

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Attorney Docket Number 71626-03

First Named Inventor Shelton et al.

COMPLETE IF KNOWN

Application Number 10/796,235

Filing Date March 09, 2004

Group Art Unit 1761

Sheet

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of

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Examiner Name

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)			
		WO	96/20961		Eastman Chemical Company	07-11-1996	
		GB	1 122 006		Shinetsu Chem Ind Co.	07-31-1968	
		WO	01/35719		Eastman Chemical Company	05-25-2001	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author(in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.